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PTO/SB/21 (6-99)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/021,121	
	Filing Date	December 6, 2001	
	First Named Inventor	Ingrid W. Caras	
	Group/Art Unit	1647	
	Examiner Name	Shafer, S.	
Total Number of Pages in This Submission		Attorney Docket Number	39766-0046DV1

ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> <b>Extension of Time Request</b> (5 month EOT = \$2,160.00) <input type="checkbox"/> Information Disclosure Statement (w/cited reference) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s)</b> (please identify below): stamped return postcard		
<table border="1"><tr><td>Remarks</td><td><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Ref. Atty. Docket No. 39766- 0046DV1).</b></td></tr></table>			Remarks	<b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Ref. Atty. Docket No. 39766- 0046DV1).</b>
Remarks	<b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Ref. Atty. Docket No. 39766- 0046DV1).</b>			

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual name	GINGER R. DREGER, ESQ., REG. NO. 33,055, HELLER EHRMAN LLP		
Signature			
Date	JANUARY 19, 2007	Customer Number:	25213

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop _____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: JANUARY 19, 2007			
		Express Mail Label	EV 582 639 136 US
Typed or printed name	C. ROGERS		
Signature		Date	JANUARY 19, 2007

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<b>COMBINED FEE TRANSMITTAL</b> <b>for FY 2006</b> Effective 08/08/2004. Patent fees are subject to annual revision.	
(Revised) (For payment of 37 CFR 1.17 fees including (f), (g), (h), & (i))	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
<b>TOTAL AMOUNT OF PAYMENT</b> <b>(\$ 2,160.00)</b>	

<b>Complete if Known</b>	
Application Number	10/021,121
Filing Date	December 6, 2001
First Named Inventor	Ingrid W. Caras
Examiner Name	Shafer, Shulamith H.
Art Unit	1647
Attorney Docket No.	39766-0046DV1

<b>METHOD OF PAYMENT (check one)</b>				
<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account				
Deposit Account Number:	08-1641 (Ref. Atty. Docket No. 39766-0046DV1)			
Deposit Account Name:	Heller Ehrman LLP			
The Commissioner is authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below				
<input checked="" type="checkbox"/> Credit any overpayments and charge any deficiencies				
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application				
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account				

<b>FEE CALCULATION (continued)</b>	
<b>4. PETITION FEES UNDER 37 CFR 1.17 (f)</b> Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)	Fee Paid
<b>5. PETITION FEES UNDER 37 CFR 1.17 (g)</b> Fee Code: 1463 Fee \$ 200 For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(c); § 1.956; § 5.12; § 5.15; § 5.25	Fee Paid
<b>6. PETITION FEES UNDER 37 CFR 1.17 (h)</b> Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314	Fee Paid
<b>7. PROCESSING FEES UNDER 37 CFR 1.17 (i)</b> Fee Code: 1808 (1803 for § 1.221) Fee \$ 130 For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81	Fee Paid

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	FILING FEES		SEARCH FEES		EXAMINATION FEES		
Applicati on Type	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	500
Design	200	100	100	50	135	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>SUBTOTAL (1)</b>						\$	

<b>8. OTHER FEES</b>			
Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1,020	510	Extension for reply within third month	
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	2,160.00
500	250	Filing a brief in support of an appeal	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1,510	1,510	Petition to institute a public use proceeding	
500	250	Petition to revive - unavoidably abandoned application	
1,500	750	Petition to revive - unintentionally abandoned application	
50	50	Processing fee for provisional apps (37 CFR 1.17(q))	
180	180	Submission of Information Disclosure Statement	
1,000	500	Request for oral hearing	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
790	395	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	
Other fee (specify)			
<b>SUBTOTAL (4+5+6+7+8)</b>			\$ 2,160.00

<b>2. EXTRA CLAIM FEES</b>							
Entity Fee (\$)	Small Entity Fee (\$)	Fee Description					
50	25	Each claim in excess of 20 or, for Reissues, each claim in excess of 20 and more than in the original patent					
200	100	Each Independent claim in excess of 3 or, for Reissues, each independent claim more than in the original patent					
360	180	Multiple dependent claim, if not already paid					
		Extra Claims	Fee from above	Fee Paid			
Total Claims	11	-20** =		x		=	
Independent Claims	1	-3** =		x		=	
**or number previously paid, if greater; For Reissues see below							
Multiple Dependent				=			
<b>SUBTOTAL (2)</b>							

<b>3. APPLICATION SIZE FEE</b>						
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to the whole number). See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)						
Total Sheets	Extra Sheets	Number of each additional 50	Fee (\$)		Small Entity Fee (\$)	
	-100 =	/50 =	x 250	OR	x 125	
<b>SUBTOTAL (3)</b>						\$

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print/Type)	GINGER R. DREGER, ESQ.	Registration No. (Attorney/Agent)	33,055	Telephone	650 324-7000
Signature		Date	JANUARY 19, 2007	Customer No.	25213



1-23-07

1FW

1647

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Ingrid W. Caras

Application Serial No.: 10/021,121

Filed: December 6, 2001

For: **AL-2 NEUROTROPHIC FACTOR**

Express Mail Label No.: EV 582 639 136 US

Mailing Date: January 19, 2007

) Examiner: Shafer, Shulamith H.

) Art Unit: 1647

) Attorney's Docket No. 39766-0046D1

) **Customer No. 25213**

**PETITION FOR EXTENSION OF TIME**

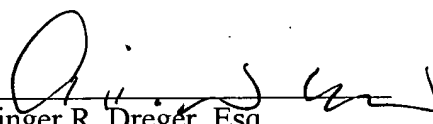
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition for a five (5) month extension of time from the date of filing the Notice of Appeal. This petition is filed to maintain copendency for a continuation application being filed today.

The Commissioner is authorized to charge any fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 08-1641 (Attorney Docket No.: 39766-0046D1C1).

Date: January 19, 2007

  
Ginger R. Dreger, Esq.  
Reg. No. 33,055

**HELLER EHRMAN LLP**

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01/24/2007 AWONDAF1 00000056 081641 10021121

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